

Neurotransmitter and Intracellular Mechanisms of Bipolar Disorder; an Explanation of Kato's Theory of Mood-Stabilising Neurons

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Abstract

Investigations into the neurobiology of bipolar disorder have produced evidence of abnormalities within many processes and chemicals in the brain. There are differences between bipolar disorder patients and controls in terms of the functioning of neurotransmitters such as dopamine, intracellular messengers such as GSK-3 β , protein kinase C and calcium, and organelles such as mitochondria and the endoplasmic reticulum. A large number of genes have been identified as increasing the risk of bipolar disorder. This article reviews this evidence and examines the hypothesis that these observed abnormalities are contributing towards, or resulting from, a fundamental neurodegenerative process which affects neurons which ordinarily have a 'mood stabilising' effect.

Key words: bipolar disorder, neurobiology, dopamine, calcium, mood stabilisers, neurodegeneration.

Introduction

Bipolar disorder is a disease for which a wide variety of biological markers can be identified which show differences from control groups. Differences can be found at the genetic level, within intracellular messenger and gene expression systems, in neurotransmitter levels, and even on imaging, but none of these appear to be entirely necessary or sufficient to account for the disease. It seems likely that many of these markers we observe to be altered in bipolar disorder are contributing towards, or resulting from, a single main pathological process which is ultimately responsible for the symptomatology of the disorder, and which ties together all the diverse abnormalities that have been identified. Kato has proposed a theory of mood-stabilising neurons, which become dysfunctional in bipolar disorder, and this seems an intriguing candidate for such a 'common pathway'. In this paper, we shall discuss the various observations in the neuropathology of bipolar disorder, including abnormal function of Ca²⁺ channels, GSK-3 β , mitochondria, and the ER stress response (1), and explain how these might fit with the theory of mood-stabilising neurons.

The Neurotransmitter and synaptic cleft level; Dopamine as a neurotransmitter in bipolar disorder

The classical view of bipolar disorder has been of a disorder primarily of neurotransmitter function. Three neurotransmitters, each with their own system of neurons and receptors, play an important role in mood disorders. These are serotonin, noradrenaline and dopamine. Reuptake transporters specific for each of these neurotransmitters regulate their concentration within the synaptic cleft by promoting reuptake of the transmitters into the presynaptic neuron. Hence SSRIs exert their action by blocking the serotonin transporter and venlafaxine blocks both the noradrenaline and serotonin transporters. It is the conventional wisdom that SSRIs and venlafaxine exert their effects in this way, and that the binding of serotonin (and in a similar way noradrenaline) to its receptor causes a second messenger cascade within the postsynaptic neuron, which in turn increases brain derived neurotrophic factor (BDNF) within the cell, which promotes neurogenesis in unipolar depression, thus alleviating the condition.

It appears that there is much evidence for dopamine being an important neurotransmitter in mania and depression. Antipsychotics effective against mania block dopamine neurotransmission, while

psychostimulants, such as amphetamine, by increasing dopamine neurotransmission, cause mania. In the context of bipolar disorder, tricyclic antidepressants can precipitate a rapid 'switch' from depression into mania, but the SSRIs do not tend to cause this (2). Tricyclic antidepressants block the noradrenaline and serotonin transporters, but have negligible effect on the dopamine transporter. However, in the prefrontal cortex, dopamine transport uses the noradrenaline transporter, such that tricyclics are able to increase the concentration of dopamine within prefrontal cortex synapses, while SSRIs do not (3).

In dopamine-deficient transgenic mice, lower locomotor activity and hypophagia is observed (4, 5). Ventral tegmental area dopamine neuron degeneration is known to cause depression (6). The cerebrospinal fluid concentration of the dopamine metabolite homovanillic acid is seen to alter in a state-dependent manner (7). Thus, dopamine neurotransmission appears to alter with mood state. Serotonin systems, on the other hand, can be seen to alter in a manner that is not dependent on overall mood state. Reduced serotonin activity, as measured by neuroendocrine challenge (8) and decreased cerebrospinal fluid concentrations of HIAA (a serotonin metabolite), were reported in both depressive and manic states (7). Putting this more simply, there is evidence that dopamine in particular is an important neurotransmitter in bipolar disorder. This indicates an important difference in terms of neurotransmitters between bipolar and unipolar depression. It is important to remember, however, that neurotransmitter levels are merely the product of the neurons that produce them, and that these abnormalities might be the result of a number of more fundamental cellular changes in bipolar disorder, most likely affecting the neurogenesis and degeneration of neurons which then, in turn, affect levels of transmitters such as dopamine.

The Role of Calcium Channels

In the platelets of patients with bipolar disorder, it is found that basal levels of calcium, and the degree of calcium influx provoked by agonists such as thrombin, serotonin, and platelet activating factor, are enhanced, regardless of which agonist is used (9, 10). The ER calcium pump can be inhibited using thapsigargin, which results in Ca^{2+} depletion from the ER stores, and a secondary Ca^{2+} influx via the SOCC on the plasma membrane, leading to increased cytoplasmic Ca^{2+} concentrations. Peripheral blood cells taken from patients with bipolar disorder have an enhanced response to thapsigargin (11, 12), and SOCC disruption appears to be contributing towards the Ca^{2+} signalling changes seen in bipolar disorder (10). Within neurons, Ca^{2+} signalling could also be disrupted by altered function of the NMDA receptor, Ca^{2+} permeable AMPA/kainate receptor, and voltage-gated Ca^{2+} channel. Studies of post-mortem brains from patients with bipolar disorder have shown changes in the genes for these receptors.

The Phosphoinositide pathway

Intracellular calcium levels are also affected by the phosphatidylinositol pathway, the likely target of lithium. Phosphatidylinositol bisphosphate hydrolysis yields diacylglycerol (DAG) and inositol triphosphate (IP3), which in turn causes ER Ca^{2+} stores to be released. Lymphoblastoid and lymphocyte cell lines from patients with bipolar disorder show lower levels of inositol (13), reduced inositol monophosphatase 2 mRNA (14, 15), and reduced IMPase activity (16). Protein kinase C, which is activated by DAG and Ca^{2+} , is found to be active, bound to membranes, and translocates to the membrane in response to serotonin stimuli, in higher levels in drug-free patients, and this dysregulation is reduced following lithium or valproate treatment (17, 18, 19).

As well as acting on the phosphatidylinositol pathway, mood stabilisers also seem to inhibit glycogen synthase kinase 3b (GSK-3b) (20, 21), and thus the GSK-3b pathway may also have a role in the neurobiology of bipolar disorder. GSK-3b is controlled via intracellular Ca^{2+} signalling, and has a role in the neurotrophin and Wnt signalling pathways. There is evidence to suggest that GSK-3b overactivity in bipolar disorder may be responsible for a shortening of the circadian rhythm period, and that lithium is able to affect circadian rhythm by GSK-3b inhibition (22).

Mitochondrial dysfunction

Studies using magnetic resonance spectroscopy (MRS) have indicated that differences in energy metabolism are present in patients with bipolar disorder, including reductions in phosphocreatine (23) and creatine (26, 27, 28), a decreased intracellular pH (24), and increased lactate (25). These are similar findings to those seen in mitochondrial diseases like chronic external ophthalmoplegia (CPEO), caused by mitochondrial DNA (mtDNA) deletions and primarily affecting muscle cells. mtDNA deletions were reported to be numerous in the brain of a patient with CPEO who presented primarily with severe retarded depression (29). Thus, it has been suggested that mtDNA deletions in the brain might be a cause for depression. In some mitochondrial cytopathies, convincing associations have been shown with depression (54% of 36 patients with mitochondrial disease,) and bipolar disorder (17%) (30, 31).

These abnormalities of mtDNA, known to be accumulated in the brains of some bipolar disorder patients (33), are likely to effect the pathology of bipolar disorder through mitochondrial Ca^{2+} dysregulation (32). Mitochondrial-related nuclear gene expression also appears to be decreased in bipolar disorder, for instance in the hippocampus (34). The lymphocytes of bipolar disorder patients appear to down-regulate mitochondria-related nuclear genes in response to glucose deprivation stress, whilst healthy control subjects respond by upregulating these genes (35), suggesting that a cellular stress response impairment may be of importance in bipolar disorder. Transgenic mice have been studied, which show mutations of mtDNA polymerase gamma (POLG), modelling one of the causes of CPEO (36). These mice have accumulations of mtDNA deletions within neurons, and show bipolar disorder-like phenotypes. This included changes to the circadian rhythm, which were exacerbated by tricyclic antidepressants but improved by lithium (37) or electroconvulsive therapy (38). Mitochondria from the brains of these transgenic mice were found to sequester calcium more rapidly (39). Mitochondrial dysfunction in bipolar disorder is also likely to cause oxidative stress, and markers for this, such as thiobarbituric acid reactive substances, are found to be raised in patients with bipolar disorder (40).

Endoplasmic reticulum stress dysfunction

The leucine zipper transcription factor gene X-box-binding protein 1 (XBP1) has been linked with ER stress dysfunction, and may have a role in bipolar disorder. XBP1 response to ER stress within lymphoblastic cells is shown to be weaker in patients with bipolar disorder (41, 42). This weakened response is not dependent on the XBP1 genotype in these patients (42). Within neurons, XBP1 mRNA is spliced as a result of ER stress, induced locally by BDNF as it raises protein synthesis. Neurons with XBP1 knockout show an impairment in the growth and extension of neurites which would be the normal response to BDNF (43). This impaired extension response might be mediated by an impairment in the differentiation of GABAergic interneurons normally induced by BDNF (44). A mutation in *Caenorhabditis elegans* which prevents XBP1 splicing causes an abnormality in AMPA glutamate receptor transport from the ER (45). Thus, a diminished XBP1 response in the neurons of bipolar disorder patients could cause impaired neurite extension in response to BDNF, impaired GABA interneuron differentiation, and impaired AMPA receptor trafficking.

The endoplasmic reticulum function of protein folding appears to be influenced by a protein with a peptidylprolyl isomerase (PPI) domain, encoded by the peptidylprolyl cis-trans isomerase E like (PPIEL) gene. This is found to be significantly hypomethylated in bipolar II disorder patients and this resulted in raised mRNA expression in lymphoblastoid cells. PPIEL mRNA is expressed throughout the brain, particularly within the substantia nigra and pituitary gland, possibly suggesting a role in dopaminergic neurons (46).

Vulnerability of neurons

The most established neuroimaging finding in bipolar disorder is that of increased numbers of subcortical hyperintensity (SCH) lesions in T2-weighted MRI (47). This increased SCH lesion frequency

in bipolar disorder is thought to be a consequence of increased vulnerability to cellular stress within the neurons or oligodendrocytes. The neuroprotective effect of mood-stabilising drugs supports this idea that bipolar disorder may be associated with some neuronal vulnerability or impaired resilience (48). If this were the case, bipolar disorder would be expected to be associated with neuronal loss. Indeed this is the case, with the anterior cingulate (49, 50, 51), prefrontal cortex (52, 53), hippocampal corpus ammonius (CA2) (54), ventromedial thalamic nucleus (55), hypothalamic paraventricular nucleus (56), and the dorsal raphe ventrolateral subnucleus all showing reduced neuronal density or numbers in patients with bipolar disorder. It is of interest that primarily, the findings of reduced neuronal numbers in these areas are accounted for by reductions in interneurons as opposed to pyramidal neurons (49, 51, 54, 55). Furthermore, of all these areas found to have reduced neurons in bipolar disorder, only the hypothalamic periventricular nucleus also shows gliosis (56). This neuronal vulnerability in bipolar disorder patients appears to be related to altered neurotrophin signalling, impaired calcium signalling, mitochondrial dysfunction, and endoplasmic reticulum (ER) stress response dysfunction. These pathways are closely linked, with neurotrophin regulation vital for correct calcium signalling, and mitochondria and ER important for regulating store-operated calcium channels (SOCC) used in intracellular calcium signalling.

Mechanism of clinical action of mood stabilisers

The mechanism of action of mood stabilisers, particularly lithium and valproate, give useful indications of the important intracellular pathways which affect bipolar disorder. It is known that both lithium and valproate are neuroprotective, and that they act in this way by affecting inositol metabolism, and thus neurogenesis, especially GABAergic neurogenesis (58), (59). The trophic factors BDNF, BAG1 and bcl-2, are important in this process of enhancing neurogenesis. Lithium most probably acts by inhibiting inositol-monophosphatase (IMPase), thus depleting intracellular inositol (57). Both lithium and valproate act to enlarge growth cones (60), and enhance adult neurogenesis (61, 62), particularly of GABA neurones (62).

The mechanisms by which the mood stabilisers cause these neurogenesis effects include inositol depletion (62), bcl-2 upregulation on the outer membrane of mitochondria (22), glycogen synthase kinase 3b (GSK-3b) inhibition (63, 64), BDNF-ERK pathway enhancement, glutamate receptor trafficking effects (65), upregulating the glucocorticoid receptor co-chaperone BAG-1 (66), glucocorticoid receptor functioning inhibition (67), glutathione S-transferase upregulation (68), Notch signalling activation (69), and, in the case of valproate, histone deacetylase inhibition (70). Furthermore, the glutamatergic agents lamotrigine, ketamine and riluzole, which are currently showing some promise as mood-stabilising drugs, all appear to upregulate AMPA receptors, increasing AMPA/NMDA stimulation, and thus may induce neuroproliferation through enhancement of BDNF and other intracellular signalling cascades (71).

Mood-stabilising neuron hypothesis

The progression of bipolar disorder tends to be characterised by a shortening of the interval between episodes and a higher vulnerability to stress. If progression leads to rapid cycling, the effectiveness of lithium is reduced.

This tendency for the disease to progress is usually put down to a 'kindling' theory of repeated external stressors which may precipitate symptoms requiring progressively lower stimulus levels to trigger a response (72). However, a progressive degeneration of neurons with a mood-stabilising role is an alternative explanation. This theory is intriguing as it could tie together much of the known cellular pathology and neurobiology of bipolar disorder discussed above. The inhibitory GABAergic interneurons, which are reported to be reduced in bipolar disorder patients, could fill this role. Impaired ER stress response of bipolar disorder, as discussed above, may result in impairments of differentiation of GABAergic neurons. Cortical inhibition was seen to be decreased in bipolar disorder in a study using transcortical magnetic stimulation (73). In the hippocampal CA2 region, interneurons (74) are found to be reduced and, in particular, the GABAergic marker GAD67 and transcription factors needed for GABAergic interneurons like LHX2 and PAX5 are found to be reduced in the striatum oriens

of the CA2/3 region (75).

Neurons regulating the circadian rhythm, in the retino-hypothalamic pathway, have also been posited as 'mood-stabilising' neurons. Bipolar disorder patients have been reported to show disruptions in the melatonin response to light (76), and social rhythm therapy is effective in preventing relapses in bipolar disorder, by aiming to regularise the social rhythm of patients (77).

Concluding Remarks

It is now quite clear that, although abnormalities are readily detected at the neurotransmitter level in bipolar disorder, the more fundamental intracellular signalling pathologies responsible for these abnormalities must be understood in order to elucidate the neurobiology of the disorder fully. It seems that a diverse number of different genetic, epigenetic and environmental risk factors can have a detrimental effect on the function of key pathways, such as the ER stress response, mitochondrial function, Ca²⁺ channels, and GSK-3 β , and that the ultimate effect of dysregulation of these pathways may be progressive damage to the neurons normally responsible for stabilising mood.

GP Comment

What have I learned from this paper?

Although, on the face of it, a very 'technical' article, this is a useful and comprehensive review of current understanding with regard to the neurobiological variations in neurotransmitter, intracellular messenger and organelle functioning associated with bipolar disease.

It provides a valuable knowledge base to add to the symptomatology, progression and current treatment of bipolar disorder which can underpin information sharing and collaborative work in frontline clinical practice with those who suffer from the disease. This article will also support the clinician to explain and understand the mechanisms by which medication can help.

In addition the article explores the potential relationship between these changes causing progressive damage to the neurons which normally stabilise mood and the observed progression of the disease as characterised by a shortening of the interval between episodes and higher vulnerability to stress, rather than the tendency for repeated external stressors to reduce the level of stimulus required to produce symptoms. It will be interesting to see the future potential of this debate to improve understanding and treatment of the disease.

Jane Leigh, GP.

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